

# *4E : The Quantum Universe*



Lecture 20, May 4

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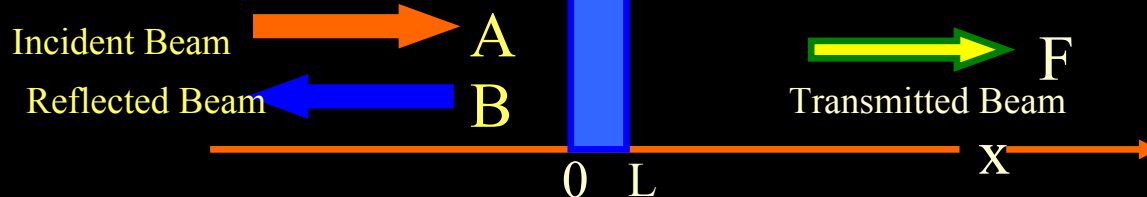
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# Potential Barrier : An Unintuitive Result When $E > U$

Region I

II

Region III



Description Of WaveFunctions in Various regions: Simple Ones first

In Region I:  $\Psi_I(x,t) = Ae^{i(kx-\omega t)} + Be^{i(-kx-\omega t)}$ ; In Region III:  $\Psi_{III}(x,t) = Fe^{i(kx-\omega t)}$

In Region II of Potential U:

$$\text{TISE: } -\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + U\psi(x) = E\psi(x) \Rightarrow \frac{d^2\psi(x)}{dx^2} = \frac{2m}{\hbar^2}(U - E)\psi(x) = \alpha^2\psi(x)$$

$$\text{with } \alpha^2 = \frac{\sqrt{2m(U-E)}}{\hbar}; \quad U < E \Rightarrow \alpha^2 < 0$$

$$\text{Define } \alpha = ik'; \alpha^2 = -(k')^2; k' = \sqrt{\frac{2m(E-U)}{\hbar^2}}$$

$$\Rightarrow \Psi_{II} = Ce^{i(-k'x-\omega t)} + De^{i(k'x-\omega t)} \Rightarrow \text{Oscillatory Wavefunction}$$

Apply continuity condition at  $x=0$  &  $x=L$

$$\boxed{A+B=C+D}; \quad \boxed{kA - kB = k'D - k'C}; \quad \boxed{Ce^{-ik'L} + De^{ik'L} = Fe^{ikL}}; \quad \boxed{k'De^{ik'L} - k'Ce^{-ik'L} = kFe^{ikL}}$$

Eliminate B, C, D and write every thing in terms of A and F  $\Rightarrow$

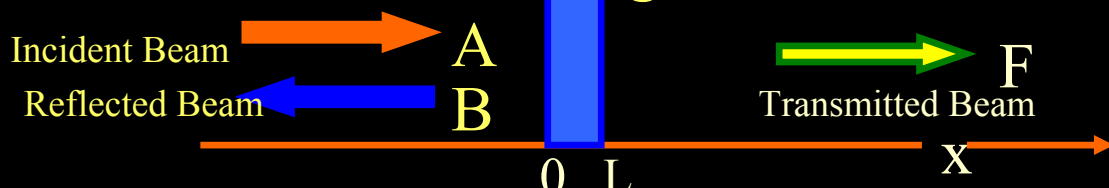
$$A = \frac{1}{4} Fe^{ikL} \left\{ \left[ 2 - \left( \frac{k'}{k} + \frac{k}{k'} \right) \right] e^{ik'L} + \left[ 2 + \left( \frac{k'}{k} + \frac{k}{k'} \right) \right] e^{-ik'L} \right\}$$

# Potential Barrier : An Unintuitive Result When $E > U$

Region I

II

Region III



$$\Rightarrow \frac{1}{T} = \frac{A^* A}{F^* F} = \frac{1}{4} \left[ 2 \cos k' L - i \left( \frac{k'}{k} + \frac{k}{k'} \right) \sin k' L \right]^2 = 1 + \frac{1}{4} \left[ \frac{U^2}{E(E-U)} \right] \sin^2 k' L > 1$$

Only when  $\sin k' L = 0, T = 1$ ; this happens when  $k' L = n\pi$

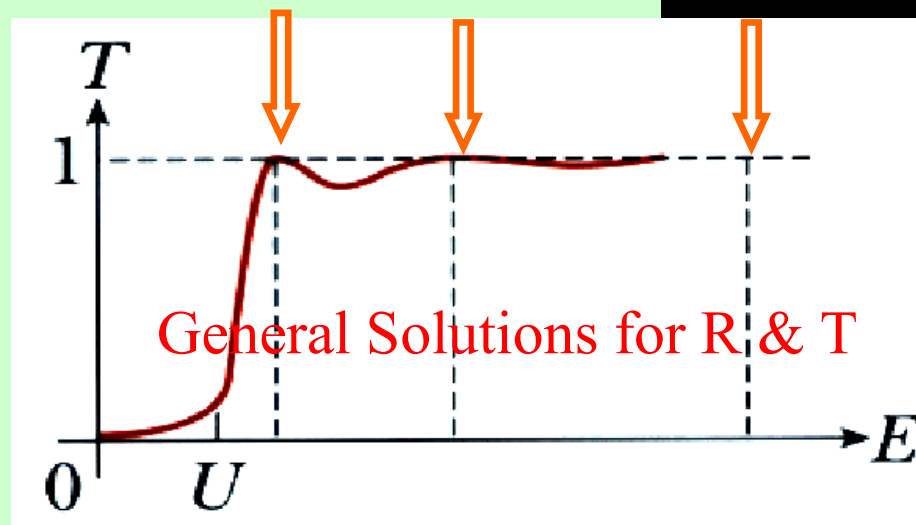
$$\text{Since } k' = \sqrt{\frac{2m(E-U)}{\hbar^2}} \Rightarrow \sqrt{\frac{2m(E-U)}{\hbar^2}} = n\pi$$

$$\Rightarrow E_n = U + n^2 \left( \frac{\pi^2 \hbar^2}{2mL^2} \right) \text{ is the condition}$$

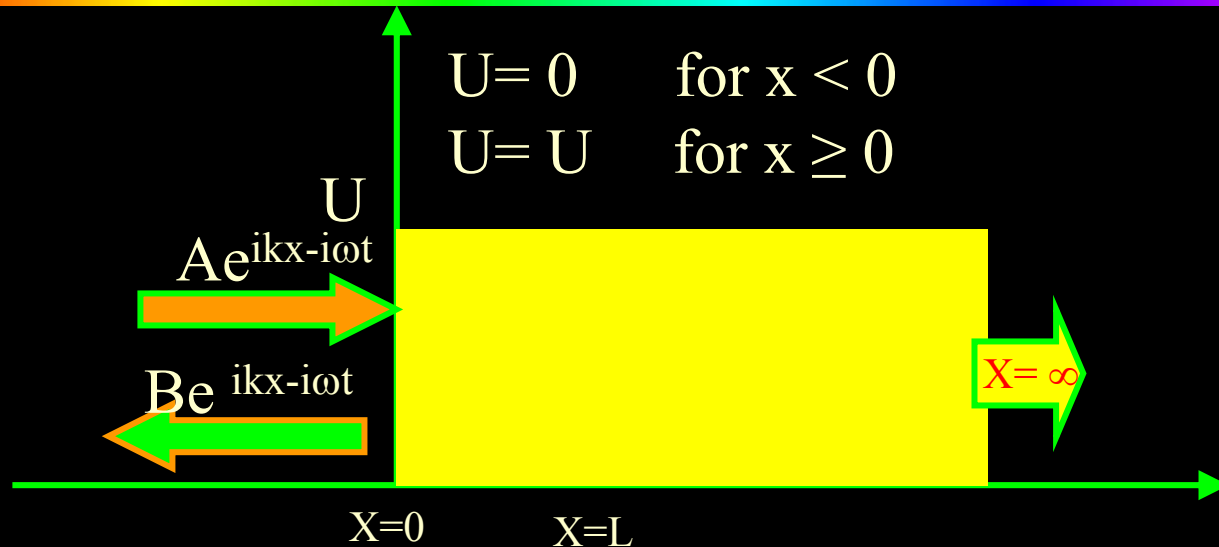
for particle to be completely transmitted

For all other energies,  $T < 1$  and  $R > 0$  !!!

This is Quantum Mechanics in your face !



# Special Case: A Potential Step



In region I ( $X < 0$ ) :  $\Psi_I(x,t) = Ae^{ikx-i\omega t} + Be^{-ikx-i\omega t}$

In region II ( $X \geq 0$ ) :  $\Psi_{II}(x,t) = Ce^{-\alpha x-i\omega t} + De^{\alpha x-i\omega t}$

Applying Continuity conditions of  $\Psi$  and  $\frac{d\Psi}{dx}$  at  $x=0$

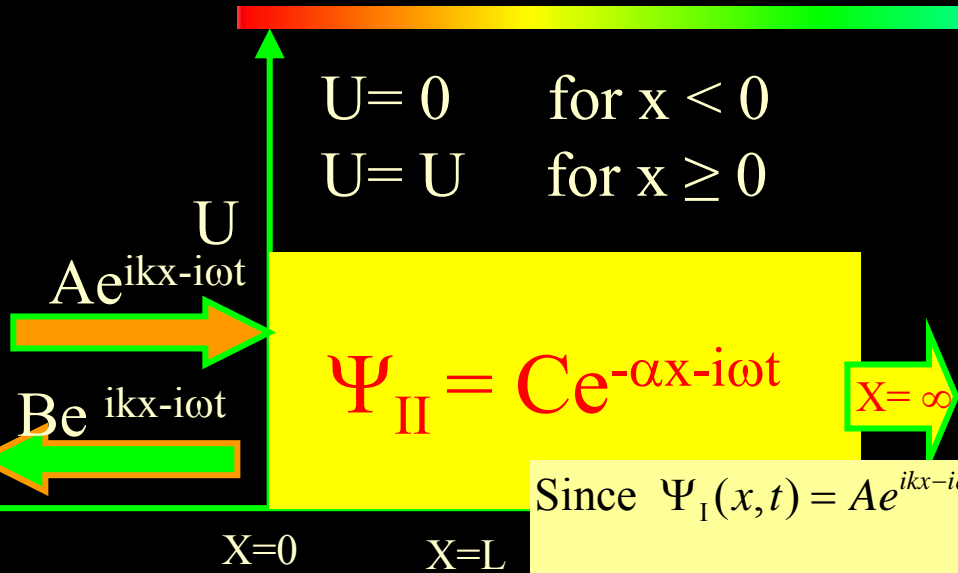
$$A + B = C \quad \& \quad ikA - ikB = -\alpha C; \text{ Eliminating } C \Rightarrow ikA - ikB = -\alpha(A + B)$$

Defining Penetration Depth  $\delta = \frac{1}{\alpha} \Rightarrow \frac{\hbar}{\sqrt{2m(U - E)}}$ ,

rewrite as  $ik\delta A - ik\delta B = -(A+B) \Rightarrow A(1+ik\delta) = -B(1-ik\delta)$

$\Rightarrow \frac{B}{A} = -\frac{(1+ik\delta)}{(1-ik\delta)} \Rightarrow \text{Reflection Coeff } R = \frac{B^* B}{A^* A} = 1$  ; as expected

# Transmission Probability in A Potential Step



Since  $\Psi_I(x,t) = Ae^{ikx-i\omega t} + Be^{-ikx-i\omega t}$  ;  $\Psi_{II}(x,t) = Ce^{-\alpha x-i\omega t}$

Applying Continuity conditions of  $\Psi$  and  $\frac{d\Psi}{dx}$  at  $x=0$  :

$$A + B = C \Rightarrow \frac{C}{A} = 1 + \frac{B}{A} = 1 - \frac{(1+ik\delta)}{(1-ik\delta)}$$

$$\Rightarrow \frac{C}{A} = -\frac{2ik\delta}{1-ik\delta} \neq 0 \Rightarrow T = \left(\frac{C}{A}\right)\left(\frac{C}{A}\right)^* > 0!!!$$

The particle burrows into the skin of the step barrier. If one has a barrier of width  $L=\delta$ , particle escapes thru the barrier.

penetration distance  $\Delta x =$  distance for which prob. drops by 1/e.

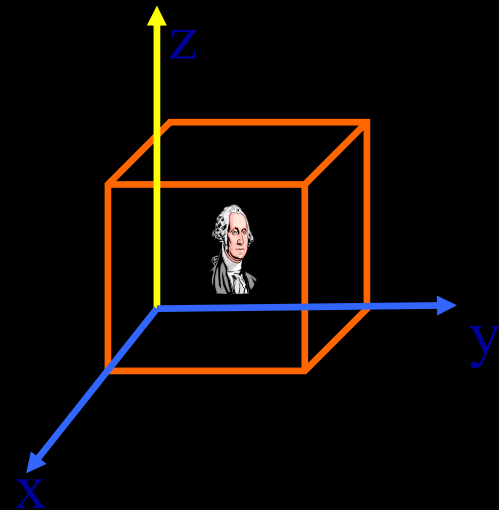
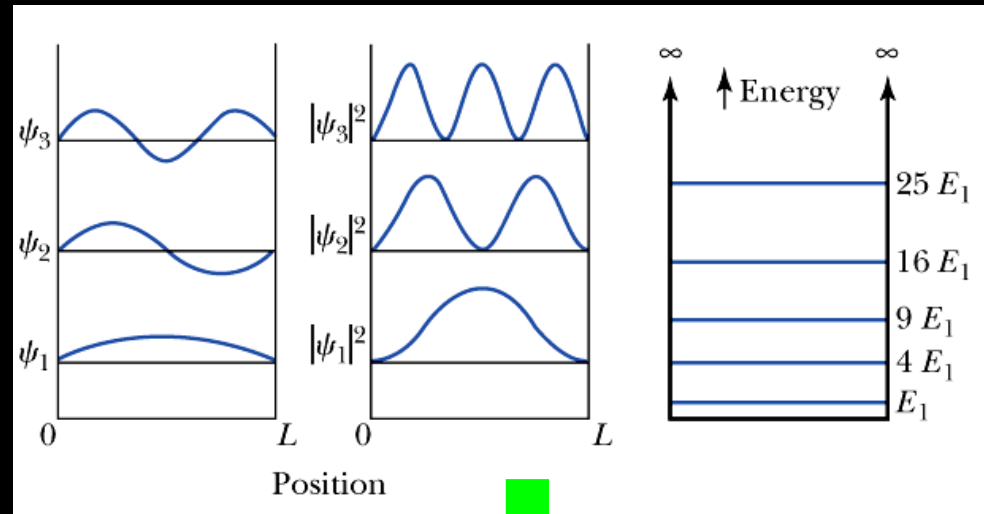
$$|\psi(x=\Delta x)|^2 = C^2 e^{-2\alpha\Delta x} = C^2 e^{-1}; \text{ happens when } 2\alpha\Delta x = 1 \text{ or } \Delta x = \frac{1}{2} \frac{\hbar}{\sqrt{2m(U-E)}}$$

# QM in 3 Dimensions

- Learn to extend S. Eq and its solutions from “toy” examples in 1-Dimension ( $x$ )  $\rightarrow$  three orthogonal dimensions ( $\mathbf{r} \equiv x, y, z$ )

$$\vec{r} = \hat{i}x + \hat{j}y + \hat{k}z$$

- Then transform the systems
  - Particle in 1D rigid box  $\rightarrow$  3D rigid box
  - 1D Harmonic Oscillator  $\rightarrow$  3D Harmonic Oscillator
    - Keep an eye on the number of different integers needed to specify system  $1 \rightarrow 3$  (corresponding to 3 available degrees of freedom  $x, y, z$ )



# Quantum Mechanics In 3D: Particle in 3D Box

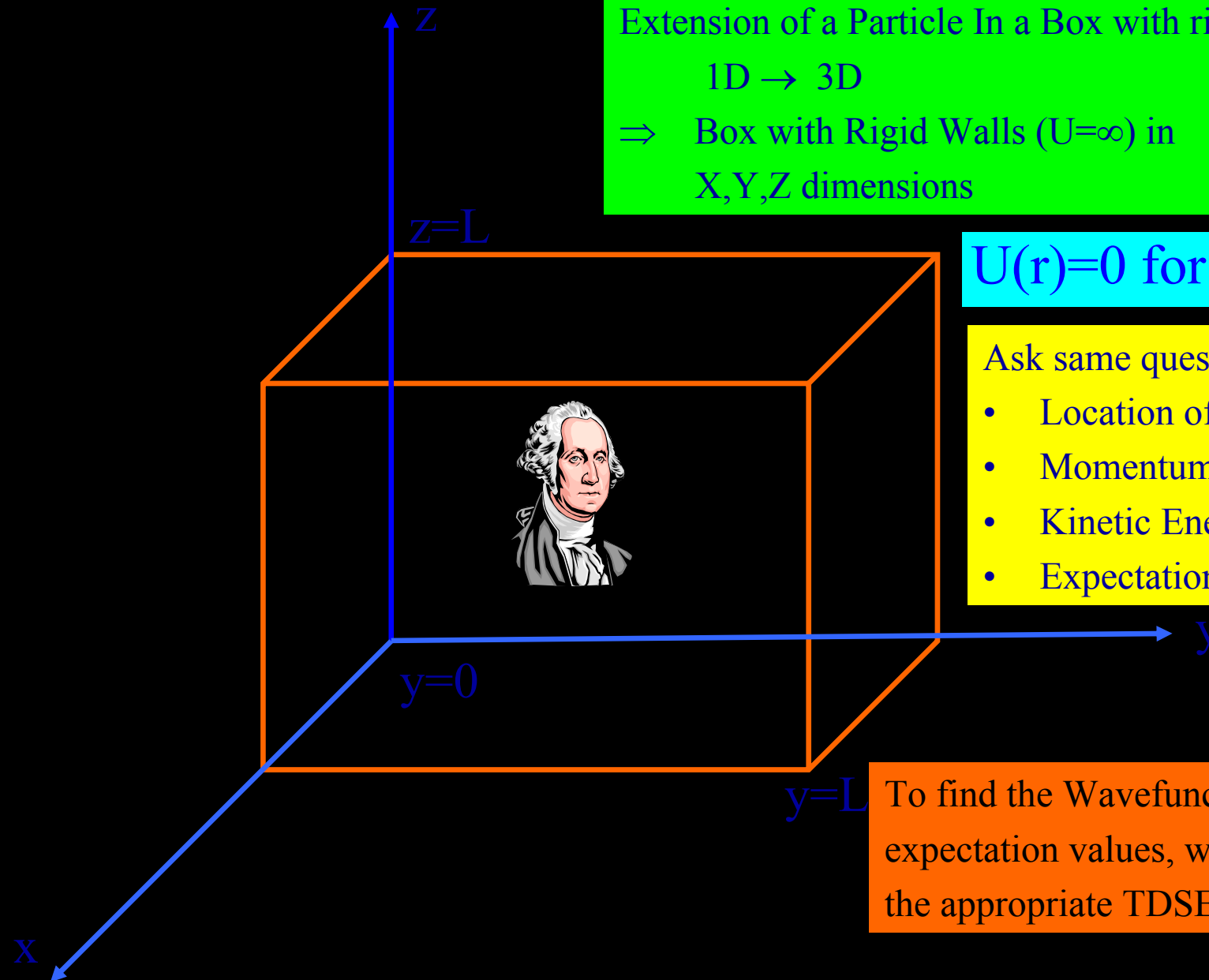
Extension of a Particle In a Box with rigid walls  
1D  $\rightarrow$  3D  
 $\Rightarrow$  Box with Rigid Walls ( $U=\infty$ ) in  
X,Y,Z dimensions

$$U(\mathbf{r})=0 \text{ for } (0 < x, y, z, < L)$$

Ask same questions:

- Location of particle in 3d Box
- Momentum
- Kinetic Energy, Total Energy
- Expectation values in 3D

To find the Wavefunction and various expectation values, we must first set up the appropriate TDSE & TISE



# The Schrodinger Equation in 3 Dimensions: Cartesian Coordinates

Time Dependent Schrodinger Eqn:

$$-\frac{\hbar^2}{2m}\nabla^2\Psi(x, y, z, t) + U(x, y, z)\Psi(x, t) = i\hbar\frac{\partial\Psi(x, y, z, t)}{\partial t} \quad \dots\text{In 3D}$$

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

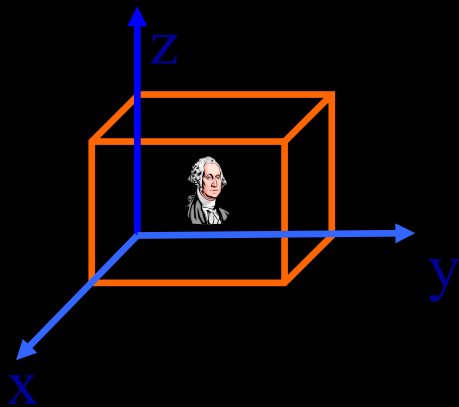
$$\begin{aligned} \text{So } -\frac{\hbar^2}{2m}\nabla^2 &= \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial x^2}\right) + \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial y^2}\right) + \left(-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial z^2}\right) = [K] \\ &= [K_x] + [K_y] + [K_z] \end{aligned}$$

so  $[H]\Psi(x, t) = [E]\Psi(x, t)$  is still the Energy Conservation Eq

Stationary states are those for which all probabilities are **constant in time** and are given by the solution of the TDSE in seperable form:

$$\Psi(x, y, z, t) = \Psi(\vec{r}, t) = \psi(\vec{r})e^{-i\omega t}$$

This statement is simply an extension of what we derived in case of 1D time-independent potential



## Particle in 3D Rigid Box : Separation of Orthogonal Spatial (x,y,z) Variables

$$\text{TISE in 3D: } -\frac{\hbar^2}{2m} \nabla^2 \psi(x, y, z) + U(x, y, z) \psi(x, y, z) = E \psi(x, y, z)$$

x,y,z independent of each other , write  $\psi(x, y, z) = \psi_1(x) \psi_2(y) \psi_3(z)$

and substitute in the master TISE, after dividing thruout by  $\psi = \psi_1(x) \psi_2(y) \psi_3(z)$

and noting that  $U(r)=0$  for  $(0 < x, y, z, < L) \Rightarrow$

$$\left( -\frac{\hbar^2}{2m} \frac{1}{\psi_1(x)} \frac{\partial^2 \psi_1(x)}{\partial x^2} \right) + \left( -\frac{\hbar^2}{2m} \frac{1}{\psi_2(y)} \frac{\partial^2 \psi_2(y)}{\partial y^2} \right) + \left( -\frac{\hbar^2}{2m} \frac{1}{\psi_3(z)} \frac{\partial^2 \psi_3(z)}{\partial z^2} \right) = E = \text{Const}$$

This can only be true if each term is constant for all x,y,z  $\Rightarrow$

$$\boxed{-\frac{\hbar^2}{2m} \frac{\partial^2 \psi_1(x)}{\partial x^2} = E_1 \psi_1(x)}; \quad \boxed{-\frac{\hbar^2}{2m} \frac{\partial^2 \psi_2(y)}{\partial y^2} = E_2 \psi_2(y)}; \quad \boxed{-\frac{\hbar^2}{2m} \frac{\partial^2 \psi_3(z)}{\partial z^2} = E_3 \psi_3(z)}$$

With  $\boxed{E_1 + E_2 + E_3 = E = \text{Constant}}$  (Total Energy of 3D system)

Each term looks like particle in 1D box (just a different dimension)

So wavefunctions must be like  $\boxed{\psi_1(x) \propto \sin k_1 x}$ ,  $\boxed{\psi_2(y) \propto \sin k_2 y}$ ,  $\boxed{\psi_3(z) \propto \sin k_3 z}$

# Particle in 3D Rigid Box : Separation of Orthogonal Variables

Wavefunctions are like  $\psi_1(x) \propto \sin k_1 x$ ,  $\psi_2(y) \propto \sin k_2 y$ ,  $\psi_3(z) \propto \sin k_3 z$

Continuity Conditions for  $\psi_i$  and its first spatial derivatives  $\Rightarrow n_i \pi = k_i L$

Leads to usual Quantization of Linear Momentum  $\vec{p} = \hbar \vec{k}$  ....in 3D

$$p_x = \left( \frac{\pi \hbar}{L} \right) n_1 ; p_y = \left( \frac{\pi \hbar}{L} \right) n_2 ; p_z = \left( \frac{\pi \hbar}{L} \right) n_3 \quad (n_1, n_2, n_3 = 1, 2, 3, \dots \infty)$$

Note: by usual Uncertainty Principle argument neither of  $n_1, n_2, n_3 = 0!$  (why?)

$$\text{Particle Energy } E = K + U = K + 0 = \frac{1}{2m} (p_x^2 + p_y^2 + p_z^2) = \frac{\pi^2 \hbar^2}{2mL^2} (n_1^2 + n_2^2 + n_3^2)$$

Energy is again quantized and brought to you by integers  $n_1, n_2, n_3$  (independent) and  $\psi(\vec{r}) = A \sin k_1 x \sin k_2 y \sin k_3 z$  ( $A =$  Overall Normalization Constant)

$$\Psi(\vec{r}, t) = \psi(\vec{r}) e^{-i \frac{E}{\hbar} t} = A [\sin k_1 x \sin k_2 y \sin k_3 z] e^{-i \frac{E}{\hbar} t}$$

# Particle in 3D Box : Wave function Normalization Condition

$$\Psi(\vec{r},t) = \psi(\vec{r}) e^{-i\frac{E}{\hbar}t} = A [\sin k_1 x \sin k_2 y \sin k_3 z] e^{-i\frac{E}{\hbar}t}$$

$$\Psi^*(\vec{r},t) = \psi^*(\vec{r}) e^{i\frac{E}{\hbar}t} = A [\sin k_1 x \sin k_2 y \sin k_3 z] e^{i\frac{E}{\hbar}t}$$

$$\Psi^*(\vec{r},t)\Psi(\vec{r},t) = A^2 [\sin^2 k_1 x \sin^2 k_2 y \sin^2 k_3 z]$$

Normalization Condition :  $1 = \iiint_{x,y,z} P(r) dx dy dz \Rightarrow$

$$1 = A^2 \int_{x=0}^L \sin^2 k_1 x dx \int_{y=0}^L \sin^2 k_2 y dy \int_{z=0}^L \sin^2 k_3 z dz = A^2 \left(\sqrt{\frac{L}{2}}\right) \left(\sqrt{\frac{L}{2}}\right) \left(\sqrt{\frac{L}{2}}\right)$$

$$\Rightarrow A = \left[\frac{2}{L}\right]^{\frac{3}{2}} \text{ and } \Psi(\vec{r},t) = \left[\frac{2}{L}\right]^{\frac{3}{2}} [\sin k_1 x \sin k_2 y \sin k_3 z] e^{-i\frac{E}{\hbar}t}$$

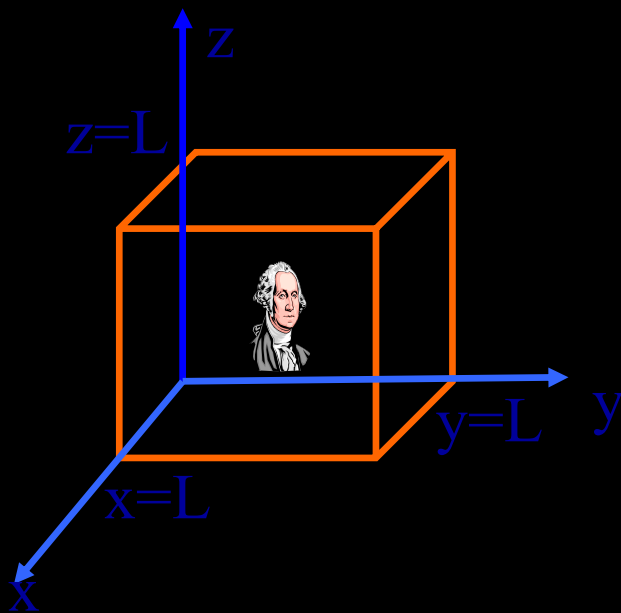
# Particle in 3D Box : Energy Spectrum & Degeneracy

$$E_{n_1, n_2, n_3} = \frac{\pi^2 \hbar^2}{2mL^2} (n_1^2 + n_2^2 + n_3^2); \quad n_i = 1, 2, 3 \dots \infty, n_i \neq 0$$

Ground State Energy  $E_{111} = \frac{3\pi^2 \hbar^2}{2mL^2}$

Next level  $\Rightarrow$  3 Excited states  $E_{211} = E_{121} = E_{112} = \frac{6\pi^2 \hbar^2}{2mL^2}$

Different configurations of  $\psi(\mathbf{r}) = \psi(x, y, z)$  have same energy  $\Rightarrow$  degeneracy



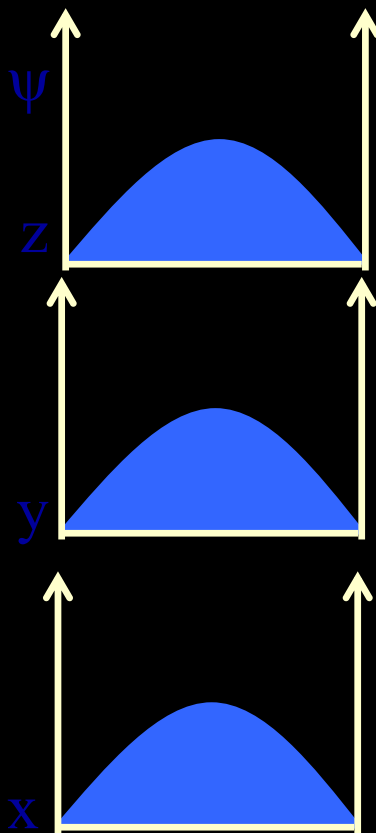
Energy	$n^2$	Degeneracy
$4E_0$	12	None
$\frac{11}{3}E_0$	11	3
$9E_0$	9	3
$2E_0$	6	3
$E_0$	3	None

# Degenerate States

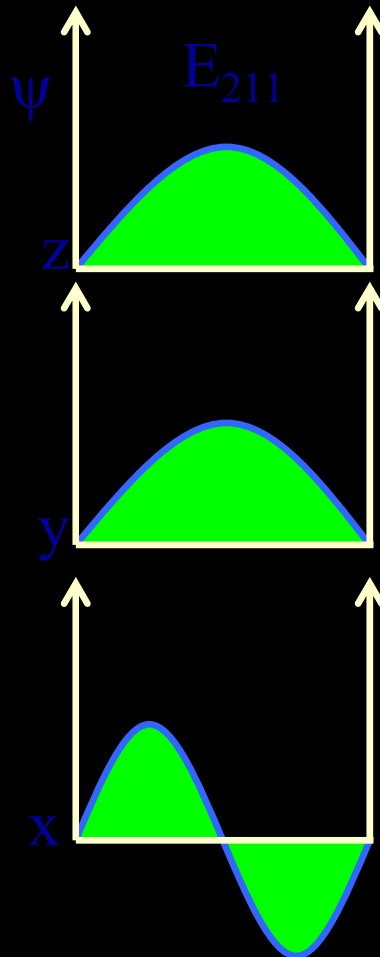
$$E_{211} = E_{121} = E_{112} = \frac{6\pi^2 \hbar^2}{2mL^2}$$

Ground State

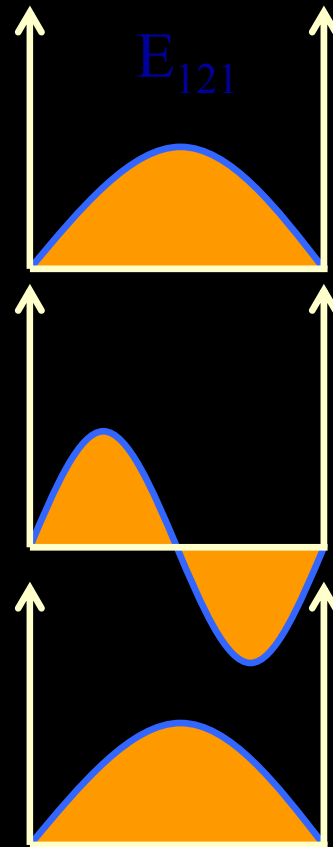
$E_{111}$



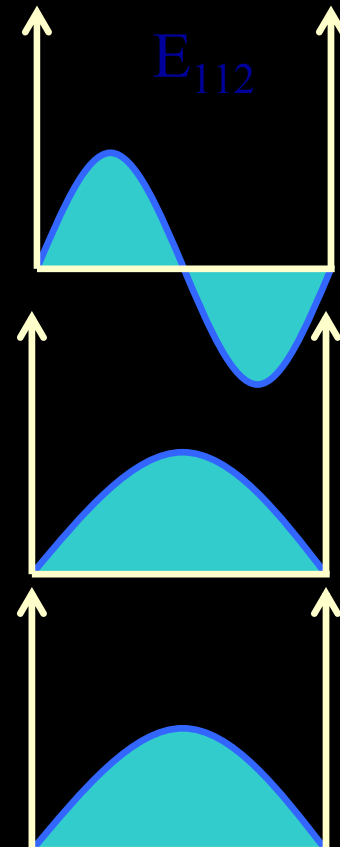
$E_{211}$



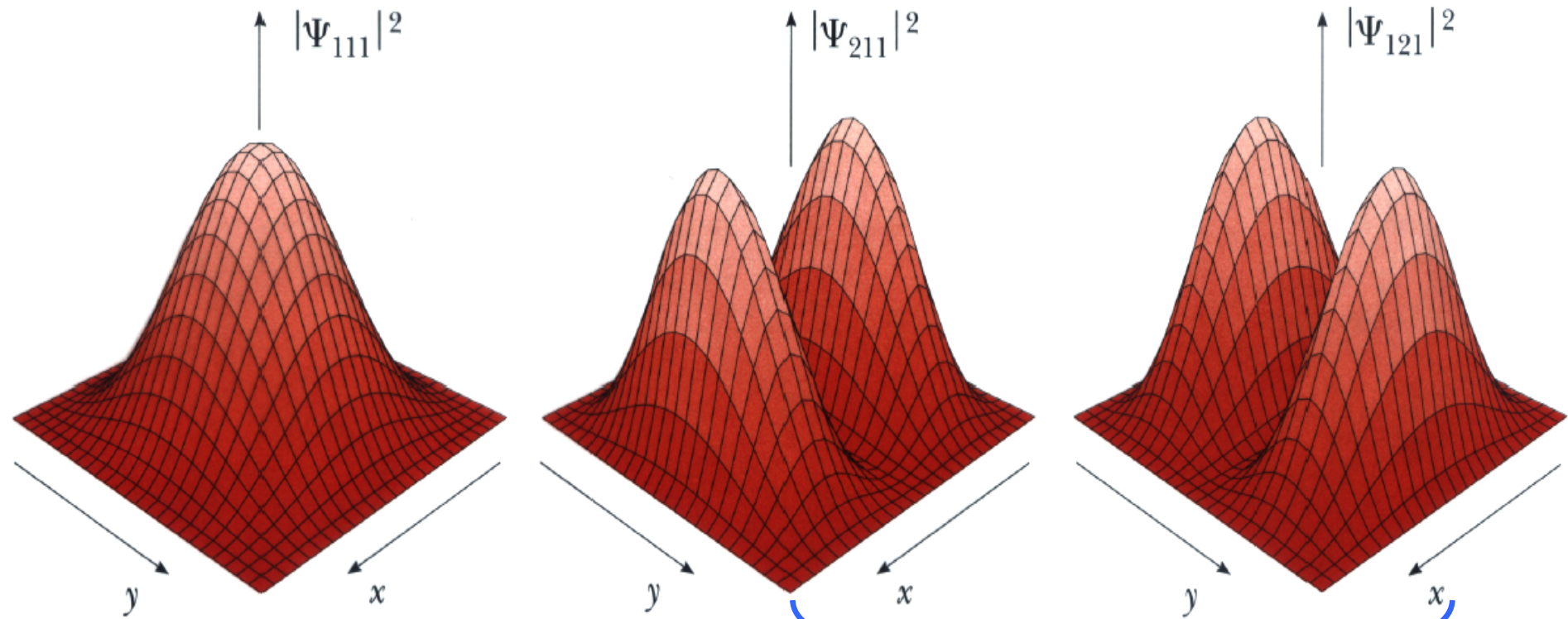
$E_{121}$



$E_{112}$



# Probability Density Functions for Particle in 3D Box



Same Energy  $\rightarrow$  Degenerate States  
Cant tell by measuring energy if particle is in  
211, 121, 112 quantum State

# Source of Degeneracy: How to “Lift” Degeneracy

- Degeneracy came from the threefold symmetry of a CUBICAL Box ( $L_x = L_y = L_z = L$ )
- To Lift (remove) degeneracy → change each dimension such that CUBICAL box → Rectangular Box
  - ( $L_x \neq L_y \neq L_z$ )
  - Then

$$E = \left( \frac{n_1^2 \pi^2}{2mL_x^2} \right) + \left( \frac{n_2^2 \pi^2}{2mL_y^2} \right) + \left( \frac{n_3^2 \pi^2}{2mL_z^2} \right)$$

